



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787944	SLEGERS	J 440436
LEYDIG VOIT & MAYER, LTD 700 THIRTEENTH ST. NW SUITE 300 WASHINGTON, DC 20005 3960		INTERNATIONAL APPLICATION NO. PCT/EP99/06424
		IA. FILING DATE 31 AUG 99
		PRIORITY DATE 25 SEP 98
DATE MAILED 19 APR 2001		

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494)  an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.  Indication of Small Entity Status.  
 Copy of the international application.  Translation of the international application into English.  
 Oath or Declaration of inventors(s).  Translation of Article 19 amendments into English.  
 Copy of Article 19 amendments.  Other:  
 Priority Document.  
 The International Preliminary Examination Report in English and its Annexes, if any.  
 Translation of Annexes to the International Preliminary Examination Report into English.

2.  Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

U.S. Basic National Fee.  Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5.  Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

*A copy of this notice **MUST** be returned with this response.*

Enclosed:  PCT/DO/EO/917  Notice of Defective Translation  
 PTO-875  PCT/DO/EO/920

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JC147 C'd PCT/PTO 25 MAY 2001

HB

PATENT

Attorney Docket No. 440436

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: John SLEGERS

Group Art Unit: UNASSIGNED

Application No.: 09/787,944

Examiner: UNASSIGNED

Filed: March 23, 2001

For: Filtration System and Methods

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant hereby responds to the Notice To File Missing Parts of Application dated April 19, 2001.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of  other than a small entity or  a small entity.

The verified statement  is attached or  was filed on .

**2. Documents Submitted Herewith**

- Executed Declaration of inventor(s).
- Verified Statement claiming small entity status.
- Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- Verified English translation of application.
- Copy of the Notice to File Missing Parts of Application.
- Other:

In re Appln. of John SLEGERS  
Appln No. 09/787,944

**3. Application Filing Fee**

<b>APPLICATION FEES</b>			
<b>BASIC FEE</b>			\$ 710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	-20=		x \$18.00 \$
Independent Claims	- 3=		x \$80.00 \$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$270.00 \$
Total of above calculations =			\$
Reduction by 50% for filing by small entity =			\$( )
TOTAL =			\$

**4. Fee for Late Filing of Missing Parts**

Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for  other than a small entity or  a small entity.

**Fee Due** \$130.00

**5. Fee for Submitting a Non-English Specification**

Pursuant to 37 C.F.R. § 1.17(k), the surcharge for submitting a non-English specification is included.

**Fee Due** \$0.00

**5. Extension of Time**

- Applicant petitions for a extension of time under 37 C.F.R. § 1.136, the fee for which is 0.00.
- Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

05/30/2001 MHGUYEN 00000153 09787944

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130.00 0P

**Extension fee due with this request: \$**

**6. Total Fee Due**

The total fee due is:

Surcharge	\$130.00
Translation Fee (if any)	\$0.00
Application Filing Fee (if any)	\$
Extension Fee (if any)	\$0.00

**Total Fee Due: \$130.00**

In re Appln. of John SLEGERS  
Appln No. 09/787,944

**7. Fee Payment**

Attached is a check in the sum of \$130.00.  
 Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

**8. Fee Deficiency**

If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

Respectfully submitted,

LEYDIG, VOIT & MAYER, LTD.

  
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JMB:dml/

MissParts (Rev. 9/11/2000)